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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Ramon MERCE VIDAL, et al.

SERIAL NO: 10/566,164

GAU:

FILED: January 27, 2006

EXAMINER:

FOR: INDOL-4 SULFONAMIDE DERIVATIVES, THEIR PREPARATION AND THEIR USE 5-HT-6 AS MODULATORS

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☐ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☒ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
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CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
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DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0930. A duplicate copy of this sheet is enclosed.

Respectfully submitted,


OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.
Norman F. Oblon

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Registration No. 48,082

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 284147US0PCT		SERIAL NO. 10/566,164	
				APPLICANT Ramon MERCE VIDAL, et al.			
				FILING DATE January 27, 2006		GROUP	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
/SY/	AA	US 2005/0065202	03-24-05	VIDAL ET AL.			
/SY/	AB	US 2003/0191124	10-09-03	MERCE-VIDAL ET AL.			
/SY/	AC	US 2005/0032791	02-10-05	MERC-VIDAL ET AL.			
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FOREIGN PATENT DOCUMENTS							
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	AU						
	AV						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AW						
	AX						
	AY						
	AZ					<input type="checkbox"/> Additional References sheet(s) attached	
Examiner /Shawquia Young/				Date Considered 02/26/2009			
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							



LIST OF RELATED CASES CITED BY APPLICANT UNDER 37 CFR 1.56	Docket No.: 284147US0PCT	Serial No.: 10/566,164
	Inventor: Ramon MERCE VIDAL, et al.	
	Filing Date: January 27, 2006	Group:

LIST OF RELATED CASES

<u>Examiner Initial</u>	<u>Docket No.</u>	<u>Serial or Patent Number</u>	<u>Filing or Issue Date</u>	<u>Patent App. Publication No.</u>	<u>Inventor or Applicant</u>
/SY/	284057US0PCT	10/566,094	01/27/06		MERCE VIDAL, et al.
/SY/	283723US0PCT	10/566,403	01/30/06		MERCE VIDAL, et al.
/SY/	284024US0PCT	10/566,101	01/27/06		MERCE VIDAL, et al.
/SY/	284147US0PCT*	10/566,164	01/27/06		MERCE VIDAL, et al.
/SY/	283628US0PCT	10/566,100	01/27/06		TORRENS JOVER, et al.
/SY/	283625US0PCT	10/566,402	01/30/06		TORRENS JOVER, et al.
/SY/	PER CLIENT	10/841,066	05/07/04	US2005/0065202 A1	VIDAL, et al.
/SY/	PER CLIENT	10/293,206	11/13/02	US2003/0191124 A1	MERCE- VIDAL, et al.
/SY/	PER CLIENT	10/933,951	09/03/04	US2005/0032791 A1	MERCE- VIDAL, et al.
Examiner	/Shawquia Young/	Date Considered	02/26/2009		

*Present Application; listed for information
NFO/sbc/kch



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Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.
Norman F. Oblon

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Form PTO 1449
(Modified)U.S. DEPARTMENT OF COMMERCE
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PUBLICATION DOCKET NO.

284147US0PCT

SERIAL NO.

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LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

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FILING DATE

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FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
/SY/	AO	00/46195	8/10/2000	WO		NO
/SY/	AP	2 121 223	11/16/1998	ES(equivalent of US 5 744 488)		NO
	AQ					
	AR					
	AS					
	AT					
	AU					
	AV					

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

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	AX	
	AY	
	AZ	

☐ Additional References sheet(s) attached

Examiner

/Shawquia Young/

Date Considered

/Shawquia Young/

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U.S. PCT Application Serial No. ~~20~~366,164

Filed: January 27, 2006

Ramon MERCE VIDAL, et al.

Docket No. 284147 US

STATEMENT OF RELEVANCY

- 1) References have been cited in the International Search Report. A copy of these references is being submitted herewith.
- 2) References AO & AP have been cited in the corresponding Spanish Search Report. A copy of these references is being submitted herewith.
- 3) References are discussed in the specification. A copy of these references is being submitted herewith.
- 4) References are additional prior art known to Applicant. A copy of these references is being submitted herewith.

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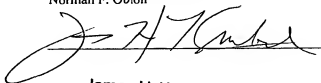
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Norman F. Obion



James H. Knebel
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	Docket No.: 284147US0PCT	Serial No.: 10/566,164
LIST OF RELATED CASES CITED BY APPLICANT UNDER 37 CFR 1.56	Inventor: Ramon MERCE VIDAL, et al.	
	Filing Date: January 27, 2006	Group:

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/SY/	303221US0CONT	11/679,344	02/27/07		MERCE VIDAL
/SY/	302651US0CONT	11/673,328	02/09/07		MERCE VIDAL, et al.
/SY/	284147US0PCT*	10/566,164	01/27/06		MERCE- VIDAL, et al.

Examiner	/Shawquia Young/	Date Considered	02/26/2009
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*Present Application; listed for information
NFO/ach

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 284147US0PCT		SERIAL NO. 10/566,164	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Ramon MERCE VIDAL, et al.			
				FILING DATE January 16, 2007		GROUP 1614	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA						
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FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
/SY/	AO	WO 01/32646 A2	05/10/2001	WIPO			
/SY/	AP	EP 0 733 828 A1	09/25/1996	Europe			
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	AS						
	AT						
	AU						
	AV						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
/SY/	AW	Hoyer, D., et al., "5-HT Receptor Classification and Nomenclature: Towards a Harmonization with the Human Genome", Review, Neuropharmacology, Vol. 36, No. 4/5, 1997, pp. 419-428.					
/SY/	AX	Monsma, J. Frederick, Jr. et al., "Cloning and Expression of a Novel Serotonin Receptor with High Affinity for Tricyclic Psychotropic Drugs", Accelerated Communication, Molecular Pharmacology, 43, pp. 320-327, 1992.					
/SY/	AY	Ruat, Martial, et al., "A novel rat serotonin (5-HT ₆) receptor: molecular cloning, localization and stimulation of camp accumulation", Biochemical and Biophysical Research Communications, Vol. 193, No. 1, 1993, May 28, 1993, pp. 268-276.					
/SY/	AZ	Kohen, Ruth, et al., "Cloning, Characterization, and Chromosomal Localization of a Human 5-HT ₆ Serotonin Receptor", Journal of Neurochemistry, Vol. 66, No. 1, 1996, pp. 47-56.				<input checked="" type="checkbox"/> Additional References sheet(s) attached	
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OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
/SY/	AAA	Yoshioka, M., et al., "Central Distribution and Function of 5-HT ₂ Receptor Subtype in the Rat Brain", First Department of Pharmacology, Hokkaido University School of Medicine, Kita-15, Nishi-7, Kita-ku Sapporo 060, Japan, 1998, 861, 244.					
	AAB	Tumbull, V. Andrew, et al., "Selective Antagonism of the NPY Y5 Receptor Does Not Have a Major Effect on Feeding in rats", Diabetes, Vol. 51, August 2002, PP.2441-2449.					
	AAC	Bourson, Anne, et al., "Involvement of 5-HT ₂ receptors in nigro-striatal function in rodents", British Journal of Pharmacology (1998) 125, pp. 1562-1566.					
	AAD	Rogers, D.C., et al., "Cognitive enhancement effects of the selective 5-HT ₂ antagonist SB-271046", Br. J. Pharmacol. Suppl., 1999, 127, 22p.					
	AAE	Bourson, Anne, et al., "Determination of the Role of the 5-HT ₂ Receptor in the Rat Brain: A Study using Antisense Oligonucleotides", Pharmacol. Exp. Ther., 1995, 274, pp. 173-180.					
	AAF	Sleight, A.J., et al., "Effects of altered 5-HT ₂ expression in the rat: functional studies using antisense oligonucleotides", Behavioral Brain Research 73 (1996), pp. 245-248.					
	AAG	Branchek, A. Theresa, et al., "5-HT ₂ Receptors as Emerging Targets For Drug Discovery", Annu. Rev. Pharmacol. Toxicol., 2000, 40, pp. 319-334.					
	AAH	Routledge, Carol, et al., "Characterization of SB-271046: a potent, selective and orally active 5-HT ₂ receptor antagonist", British Journal of Pharmacology (2000) Vol. 130, pp. 1606-1612.					
	AAI	Roth, L. Bryan, et al., "Binding of Typical and Atypical Antipsychotic Agents to 5-Hydroxytryptamine-6 and 5-Hydroxytryptamine-7 Receptors", The Journal of Pharmacology and Experimental Therapeutics, Vol. 268, No.3, pp. 1403-1410. (1994) /SY/ 9/27/2011					
	AAJ	Glatt, E. Charles, et al., "Clozapine: Selective Labeling of Sites Resembling 5-HT ₂ Serotonin Receptors May Reflect Psychoactive Profile", Molecular Medicine, Vol. 1, Number 4, May 1995 pp. 398-406.					
	AAK	Shinkai, Takahiro, et al., "Association Study of the 5-HT ₂ Receptor Gene in Schizophrenia", American Journal of Medical Genetics (Neuropsychiatric Genetics) 88, pp.120-122 (1999).					
	AAL	Gerard, Caroline, et al., "Immunolocalization of serotonin 5-HT ₂ receptor-like material in the rat central nervous system", Brain Research 746 (1997) pp. 207-219.					
	AAM	Pranzatelli, R. Michael, "Serotonergic drugs and movement disorders in humans", Drugs of Today Vol. 33, No.6, 1997, pp. 379-392.					
	AAN	Gilbert, E.E., "Recent developments in preparative sulfonation and sulfation", SYNTHESIS, International Journal of Methods in Synthetic Organic Chemistry No. 1: September 1969					
	AAO	Munson, P., et al., "LIGAND: a versatile computerized approach for characterization of ligand-binding systems", Analytical Biochemistry, 1980, 107, pp. 220-239.					
	AAP	Kask, Ants, et al., "Neuropeptide Y Y ₂ receptor antagonist CGP71683A: the effects on food intake and anxiety-related behavior in the rat", European Journal of Pharmacology 414, 2001, pp. 215-224.					
✓	AAQ	Churchill, et al., "Pharmaceutics: The Science of Dosage Forms" Second Edition, 2002.					
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/SY/	AAA	Swarbrick, et al., "Encyclopedia of Pharmaceutical Technology, second edition, 2002.					
/SY/	AAB	Gilbert, S. Banker and Christopher t. Rhodes, "Modern Pharmaceutics", Fourth Edition, Vol. 121, Drugs and the Pharmaceutical Sciences, 2002.					
/SY/	AAC	Lachman, et al., "The Theory and Practice of Industrial Pharmacy", Third Edition, 1986.					
/SY/	AAD	Rathbone, et al., "Modified-Release Drug Delivery Technology", Drugs and the Pharmaceutical Sciences, Vol. 126, 2002.					
/SY/	AAE	Bruck, D. Stephen, Ph.D., "Controlled Drug Delivery" Basic Concepts, Vol. 1, 1983.					
/SY/	AAF	Mathiowitz, E. (Ed.) John Wiley & Sons, Inc. New York, "Oral drug delivery, small intestine and colon", Encyclopedia of Controlled Drug Delivery, 1999, Vol. 2, pp. 698-728.					
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/SY/	AU	Warren D. Hirst, et al.; "CHARACTERIZATION OF [¹²⁵ I]-SB-258585 BINDING TO HUMAN RECOMBINANT AND NATIVE 5-HT ₆ RECEPTORS IN RAT, PIG AND HUMAN BRAIN TISSUE", British Journal of Pharmacology (2000) 130, pages 1597-1605.					
/SY/	AV	M.L. WOOLLEY, et al. "A ROLE FOR 5-HT ₆ RECEPTORS IN RETENTION OF SPATIAL LEARNING IN THE MORRIS WATER MAZE", Neuropharmacology 41 (2001), Pages 210-219.					
/SY/	AW	MAGID ABOU-GHARBIA, et al. "SYNTHESIS AND ANTI-ARRHYTHMIC ACTIVITY OF CYCLOALKANEINDOLES", J. Med Chem. 23, (1988), Pages 373-377.					
/SY/	AX	J. F. W. McOMIE, et al., "PROTECTIVE GROUPS IN ORGANIC CHEMISTRY", Plenum Press. LONDON, AND NEW YORK, 1973					
/SY/	AY	THEODORA W. GREENE, et al., "PROTECTIVE GROUPS IN ORGANIC SYNTHESIS", Second Edition, A Wiley-Interscience Publication JOHN WILEY & SONS, INC., NEW YORK/ CHICHESTER/ BRISBANE/ TORONTO/ SINGAPORE.					
/SY/	AZ	ORAL DRUG DELIVERY, TRADITIONAL, Supplied by the British Library- "The world's knowledge" date N/A /SY/					<input type="checkbox"/> Additional References sheet(s) attached
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Ramon MERCE VIDAL, et al.

SERIAL NO: New U.S PCT Application Based on PCT/EP04/08512

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FILED: Herewith

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Registration No. 34,423

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 284147US0PCT		SERIAL NO. New U.S PCT Application Based on PCT/EP 04/08512	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Ramon MERCE VIDAL, et al.		FILING DATE Herewith	
				GROUP			
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE	
	AA	3,472,870	10/14/1969	LARSEN, Aubrey A. et al.			
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
/SY/	AO	03/042175	5/22/2003	WO			NO
/SY/	AP	0815861	1/7/1998	EP			NO
/SY/	AQ	02/060871	8/8/2002	WO			NO
/SY/	AR	00/46915	8/10/2000	WO			NO
	AS						
	AT						
	AU						
	AV						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AW						
	AX						
	AY						
	AZ					<input type="checkbox"/> Additional References sheet(s) attached	
Examiner /Shawquia Young/				Date Considered 02/26/2009			

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.